

IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE
REQUEST FOR FILING
(RULE 53(b)(1))

JC698 U.S. PTO
Barcode



00909

01/08/02

FOR DESIGN OR UTILITY APPLICATIONS
(DO NOT USE FOR CIPs)

Rule 53(b)(1) PATENT APPLICATION:

- Continuation) application under 37 CFR 1.53(b)(1)
 Divisional)
of pending prior application of _____

Group Art Unit: 2872

Inventor(s): Takahashi et al.

Examiner: Shafer

Parent Appln. No.:	09	570,652	Atty. Dkt.	P 284144	OI67597N-US-DIV
	Series Code ↑	Serial No. ↑		New M#	Client Ref

Parent Filed: May 12, 2000

This Appln. Filed: January 8, 2002

J1017 U.S. PTO
10/038584
01/08/02
Barcode

Title: PRISM OPTICAL ELEMENT IMAGE OBSERVATION APPARATUS, AND IMAGE DISPLAY

Hon. Commissioner of Patents
Washington, DC 20231

Date: January 8, 2002
(Parent Matter No. 265151)

To effect the above-requested filing today:

Attached is a copy (which must be filed) of the prior application, including:

- Abstract
 Specification and claims (109 pages) (must be attached)
 Drawings (must be attached if originally filed): 16 sheet(s)/set: 1 set informal; Formal of size A4 11"

1A. Always X one box, only:

- (1) Copy of Signed declaration or oath as originally filed in prior application attached
(2) NO declaration or fee is enclosed; therefore, this is a filing under Rule 53(f).

2. This application is hereby filed by less than all of the inventors named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are not inventor(s) of the invention being claimed in this application (DELETE THE FOLLOWING INVENTOR(S)):

1.	_____	2.	_____
3.	_____	4.	_____
5.	_____	6.	_____
7.	_____	8.	_____

2.5 THE INVENTOR(S) FOR THIS NEW APPLICATION IS(ARE):

1.	_____	2.	_____
3.	_____	4.	_____
5.	_____	6.	_____
7.	_____	8.	_____

3. The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference thereto.

4. Priority is claimed under 35 U.S.C. 119/365 based on filing in Japan _____ of
 (country)

<u>Application No.</u>	<u>Filing Date</u>	<u>Application No.</u>	<u>Filing Date</u>
(1) <u>9-116724</u>	<u>May 7, 1997</u>	(2) <u>9-116725</u>	<u>May 7, 1997</u>
(3) _____	_____	(4) _____	_____
(5) _____	_____	(6) _____	_____

a. (No.) Certified copy/copies attached.
 b. Certified copy/copies previously filed on December 22, 1998 in
 U.S. Application No. 08/867,779, filed on June 5, 1997.
 series code ↑ ↑ serial no.
 c. Certified copy/copies filed during International stage of PCT/ /

4(a). (a) Domestic priority is claimed from PCT/ / filed _____.
 (b) Benefit is claimed of Provisional Application No. 60/_____, filed _____.
 5. Assignee (optional) _____

6. Attached is the following number of Assignments (including original and all later successive ones by different assignors): 1 and respective new Cover Sheets. (Do NOT file old cover sheets.)
 (Assignments in parent must be refiled with new Cover Sheets in this continuing application if you want it/them recorded against the continuing application.)

Please return the recorded Assignment to the undersigned.

7. The power of attorney in the prior application is to Glenn J. Perry, Reg. No. 28458

(Name and Reg. No.)
 whose current address is as in item 8 below.

8. Recognize as associate attorney Henry J. Daley, Reg. No. 42459 whose current address is as in item 8 below.
 (Name, Reg. No. and Address)

9. Address all future communications to **Intellectual Property Group
of Pillsbury Winthrop LLP, 1600 Tysons Boulevard, McLean, VA 22102**

9. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. <u>09/ 570,652</u>	filed <u>May 12, 2000</u>
No. <u>08/ 867,779</u>	filed <u>June 3, 1997</u>
No. <u>/</u>	filed _____
No. PCT/ <u>/</u>	filed _____

, which
 designated the U.S. and that International Application was was not published under PCT Article 21(2) in English

9(a). See the attached Preliminary Amendment, which amends the first page of the specification to claim benefit of the above listed US application(s)

10. Small Entity Status → is Not claimed is claimed (pre-filing confirmation required)
 (No.) Small Entity Statement(s) (not essential since 9/8/00) were/are:
 filed in above prior application
 attached.

11. Petition to extend the life of the above prior application to at least the date hereof
 (one box) is being concurrently filed in that prior application (Use Form PAT-111).
 (must be) was previously filed in that prior application (Check length of prior extension).
 (X'd) is not necessary for copendency (**Double check** before X'ing this box).

12. **INFORMATION DISCLOSURE STATEMENT:** Attached is Form PTO-1449 listing all of the documents cited by Applicant and the PTO in the parent application(s) relied upon under 35 USC 120 and referenced in item 9 above. Per Rule 98(d) copies of those documents are not required now. Please consider those documents and advise that they have been considered in this new application as by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609..
13. Attached is a Rule 103(a) Petition to Suspend Action.
14. **Please see** the attached Preliminary Amendment which reduces the number of claims for purposes of reducing the initial filing fee.

FILING FEE

THE FOLLOWING FILING FEE IS BASED ON

->->->CLAIMS AS FILED AND CHANGED BY PRELIMINARY AMENDMENT IN ITEM 14<-<-<-

NOTE: If box 1A2 is X'd, do not pay fees,
but leave lines 15-22 and 27-32 blank.

				Large/Small Entity	Fee Code
15. Basic Filing Fee		Design Application	\$330/\$165		106/26
16. Basic Filing Fee		Utility Application	\$740/\$370	+740	101/201
17. Total Effective Claims	71	minus 20 =	51	x \$18/\$9	+918
18. Independent Claims	6	minus 3 =	3	x \$84/\$42	+252
19. If any proper multiple dependent claim (ignore improper) is present,			\$280/\$140	+280	104/204
20.				Subtotal =	\$2190
21. If "petition" box 13 above is X'd, add petition fee.	\$130			+0	122
21A. If box 6 above is X'd, add Assignment recording fee	\$ 40			+40	581

22.

TOTAL FILING FEE ATTACHED = \$2230

(carry forward to Item 31)

23. ATTACHED:
- 24 See the attached Preliminary Amendment
25. See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

26.

**ADDITIONAL FEE CALCULATION FOR
PRELIMINARY AMENDMENT
PER BOXES 24/25**

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Additional Fee	
			<u>Large/Small Entity</u>		<u>File Code</u>
27.	Total Effective Claims	<u>*71</u>	minus ** <u>71</u> = <u>0</u>	x \$18/\$9 = <u>\$ 0</u>	(103/203)
28.	Independent Claims	<u>*40</u>	minus *** <u>40</u> = <u>0</u>	x \$84/\$42 = <u>+ 0</u>	(102/202)
29.	If amendment enters proper multiple dependent claim(s) into this application for the first time, add (per application)			\$280/\$140 = <u>+ 0</u>	(104/204)
30.				ADDITIONAL FEE	<u>\$ 0</u>
31.				plus FEE from item 22 on page 3	<u>+ 2230</u>
32.				<u>TOTAL FEE ATTACHED</u>	<u>\$ 2230</u>

*If the entry in this space is less than a entry in the next space, the "Present Extra" result is "0"

**If the "Highest number previously paid for" (see item 17 above) is less than 20, write "20" in this space

If the "Highest number previously paid for" (see item 18 above) is less than 3, write "3" in this space



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Our Deposit Account No. 03-3975

Our Order No. 9523

284144

C#

M#

CHARGE STATEMENT: Upon the filing of a Declaration pursuant to Rule 60(b) or 60(d), the Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

By Atty: Henry J. DaleyReg. No. 42459Sig: Henry J. DaleyFax: (202) 833-8491
Tel: (202) 775-9832

Atty./Sec HJD/dlh

NOTE No. 1: File this Request in duplicate with 2 postcard receipts (PAT-103) & attachments
 NOTE No. 2: Is extension in parent necessary for copendency? DOUBLE CHECK Item 11 above.
 If yes, printout Pat-111 and head it in parent.

APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PW 0284144
(M#)

Invention: PRISM OPTICAL ELEMENT, IMAGE OBSERVATION APPARATUS AND IMAGE DISPLAY APPARATUS

Inventor (s): JUNKO TAKAHASHI
KOICHI TAKAHASHI



00909

Pillsbury Winthrop LLP

This is a:

- Provisional Application
- Regular Utility Application
- Continuing Application
 - The contents of the parent are incorporated by reference
- PCT National Phase Application
- Design Application
- Reissue Application
- Plant Application
- Substitute Specification
Sub. Spec Filed _____
in App. No. _____ / _____
- Marked up Specification re
Sub. Spec. filed _____
In App. No. _____ / _____

SPECIFICATION